

Supplier Self-Assessment

Dear supplier,

by following questionnaire we would like to ask you to provide us with some basic information/data about your company.

As first step within our supplier approval process this Supplier Self-Assessment is supporting us to evaluate the potential of your company to become a Vibracoustic supplier.

Please forward completed document to originator within five days after reception.

Yours faithfully

Vibracoustic GmbH & Co. KG

To
(Vibracoustic site)

Vibracoustic Commodity Manager

1. General Information

Company name:

.....

Business Area:

.....

Plant:

.....

Street:

.....

Post Code / town:

.....

Country:

.....

Bank name:

.....

IBAN:

.....

SWIFT:

.....

DUNS-no:

.....

Tax-ID number:

(for suppliers in EU)

.....

Production sites:

(Countries / Locations)

.....

Company foundation date:

.....

Legal form of company /

Corporate affiliation:

.....

1.1 Contacts

| Area | Name | Phone | E-Mail |
|-------------------------------|------|-------|--------|
| General Manager | | | |
| Quality Manager | | | |
| Environmental Manager | | | |
| REACH-Representative | | | |
| Technical Manager | | | |
| Sales Manager | | | |
| Logistics Manager | | | |
| Product Safety Representative | | | |
| Development Manager | | | |

Please attach actual organizational chart.

1.2 Number of Employees

| | |
|--------------------|--|
| Production | |
| Quality Management | |
| Logistics | |
| Development | |
| Sales | |
| Administration | |
| Tool Shop | |
| Maintenance | |
| Total | |

2. Turnover / Achievement

Total turnover 20..... in Mio €/a thereof export in %

Business Areas / Main Focuses

- 1) = % of turnover
 2) = % of turnover
 3) = % of turnover

Does your core business offer the technology to manufacture and deliver the products which Vibracoustic requires? Please also indicate any other technology your company offers.

Yes % from turnover

No

What percentage of your business turnover goes directly or indirectly to the automotive industry? %

Which Customers:

3. Product Liability

Do you have a product liability insurance? Yes No

If yes: Insurance coverage for

consequential damage Amount Currency

recall Amount Currency

4. Quality Management System

On which benchmark / guideline is your quality management system based?

DIN EN ISO 9001

IATF 16949

Others:

.....

A certification has been carried out by the
 Following company at

Please attach a copy of the certificate.

Did any automotive manufacturer evaluate your quality management in the last twelve months?
 * N/A for indirect material and machine suppliers

Evaluation by Guideline

Classification scores (Please attach copy of audit result sheet)

| | | |
|--|-----|----|
| Is traceability of the basis product implemented? | Yes | No |
| Do you have incoming goods inspection? | Yes | No |
| Do you accept a confidential / non disclosure agreement? | Yes | No |

Safety critical parts:

| | | |
|--|-----|----|
| Are you already manufacturing safety critical parts for Automotive OEMs? | Yes | No |
| List OEMs: | | |

| | | |
|--|-----|----|
| Are you already audited and approved by OEM? | Yes | No |
| Please list audits last 2 years. | | |

Our Global Procedure GP_01_7.4_0007 "Quality Assurance Measures for Procurement of Purchased Parts" is essential for our business relationship to its suppliers. It is available in the actual version on www.vibracoustic.com/de/downloads under "Supplier Quality".
 Do you agree to deliver based on that Global Procedure? Yes No

If no, why not.....

5. Development capabilities / simulation tools

- Simulation tools
 - o Mould flow? Yes No
 - o Shrinkage simulation Yes No
 - o General process simulation Yes No

6. Production processes

(Please describe you main production processes)

.....

.....

.....

.....

In which region / countries is your company present? How many facilities do you have?

.....

.....

Do you have any special processes (innovation)?

.....

.....

Machine scope (can be added per separate sheet)

.....

.....

Material scope (can be added per separate sheet)

.....

.....

7. Internal laboratory

Please specify the available laboratory scope by listing technical measure- and testing devices (e.g. X-Ray, 3-D, ...); can be added per separate sheet

.....

.....

.....

.....

8. Production preparation by

| | |
|-----------------------------------|--------------------|
| Own Engineering / Development | |
| Own tool production | |
| External tool design and material | (Parts in %) |
| External production of tools | (Parts in %) |

9. Sourcing

* N/A for indirect material and machine suppliers

9.1 Which material will be bought from a sub supplier?
(e.g. sheet metal, semi-finished products; forging)

.....

.....

.....

.....

9.2 Have you defined a minimum requirement for the quality management system of you sub supplier?

Yes No

If yes, which one?

.....

10. Standard Logistics Conditions

* N/A for indirect material and machine suppliers

Transport:

Are you prepared to deliver to Incoterms 2010, DAP? Yes No

Packaging:

Can you confirm the delivery in returnable packaging? Yes No

Terms of Payment:

Do you agree to a credit note procedure? Yes No

Delivery:

Do you agree to deliver to a consignment stock? Yes No

Do you have the EDI ability? Yes No

| | | |
|--|-----|----|
| If not, do you have the possibility for WEB EDI? | Yes | No |
| Ability to export from your country? | Yes | No |

Our Global Procedure GP_01_7.4_0009 "Logistics Requirements For Suppliers" is essential for our business relationship to suppliers. It is available in the actual version on www.vibracoustic.com/de/downloads under "Supplier Logistics".

| | | |
|---|-----|----|
| Do you agree to deliver based on that Global Procedure? | Yes | No |
|---|-----|----|

11.Environment and Energy Management System

| | | |
|-------------------------------|-----|----|
| Do you have existing systems? | Yes | No |
|-------------------------------|-----|----|

| | | |
|-----------|-----------|--------|
| ISO 14001 | ISO 50001 | Others |
|-----------|-----------|--------|

Others:

A certification has been carried out by the following company on
 (Please attach copy of the certificate)

12.Health and Safety at Work

Do you have existing systems, processes and measures in your company which guarantee the legal health and safety at work protection for employees and visitors? Safety manual and written instructions

| | |
|-----|----|
| Yes | No |
|-----|----|

| | |
|-------------|--------|
| OHSAS 18001 | Others |
|-------------|--------|

Others:

A certification has been carried out by the following company on
 (Please attach copy of the certificate)

13.Freedom of Association

Do your employees have the right for freedom of association and coalition agreement by the ILO convention 87 and 98? (e.g. the right of establishing and joining any independent labour union and other lobbies. Your company does not discriminate against employees being members of a trade union.)

Do your employees in your company have the right to establish or to join to an independent workers representation (e.g. labour union, council) and to negotiate about working conditions?

| | |
|-----|----|
| Yes | No |
|-----|----|

14. Discrimination

The ILO Convention 111 dictates a non-discrimination rule at work:

It is not allowed, that someone will be discriminated against, redlined or preferred due to the reason of his/her ethnic background, skin colour, sex, credo, political opinion, national and social descent. The ILO Convention 100 ensures the same salary for the equivalent work for women and men.

Does your company discriminate as defined by ILO convention 111 and ILO convention 100?

Yes No

If Yes, please explain:

.....

.....

.....

.....

15. Overall Questions

Has your company been sanctioned through court within the last 24 month due to one or more of the following reasons?

| | | |
|--|-----|----|
| - Child labour | Yes | No |
| - Forced labour | Yes | No |
| - Breach of coalition agreement | Yes | No |
| - Discrimination | Yes | No |
| - Accident with personal injury in succession of non observance of the work and health protection guidelines and laws: | Yes | No |
| - Environmental circumstances | Yes | No |

Material Compliance Management

16. International Material Data System (IMDS)

* N/A for indirect material and machine suppliers

The data collected by the entire Automotive Supply Chain enables the participating companies to comply to worldwide ELV directives, REACH SVHC, RRR and similar regulations.

For a detailed description click on the following link:

<https://mdsystem.com>

- Is your company registered in the IMDS? Yes No
- If yes, please specify your Org.-ID
- IMDS-Contact Person (First- and Last name / Phone / Fax / E-Mail)

17. Global Automotive Declarable Substance List (GADSL)

* N/A for indirect material and machine suppliers

The GADSL covers substances that are expected to be present in a material or part that remains in a vehicle at point of sale.

For a detailed description click the following link:

<http://www.gadsl.org/>

- Are you fully aware of your legal requirements regarding HSE (Health Safety Environment) and MCM (Material Compliance Management) Yes No
- Do you regularly check GADSL compliance in your products? Yes No

If No, which products are affected:

.....

18. Regulation (EC) No 1907/2006 (REACH)

Statement to Conversion by REACH (Registration, Evaluation, Authorisation and Restriction of Chemicals). Within the scope may be placed only chemical substances on the market which has been previously registered.

For a detailed description click the following link:

<http://echa.europa.eu/regulations/reach>

- Can you ensure for your products, that Vibracoustic does not fall into the role of the importer under REACH? Yes No
- Do you make efforts to eliminate SVHC (Substances of very high concern) in your products? Yes No
- REACH- Contact Person (First- and Last name/phone/fax/e-mail)

19. Conflict Minerals (CM)

With reference to the Dodd-Frank Wall Street Reform and Consumer Protection Act there is an increased awareness of violence and human rights violations in the mining of certain minerals from a location described as a "Conflict Region".

For a detailed description click the following link:

<http://www.conflictreesourcing.org>

- | | | |
|---|-----|----|
| - Do you have a policy in place that includes DRC (Democratic Republic of Congo) conflict-free sourcing? | Yes | No |
| - Do you require your direct suppliers to source from smelters validated as compliant to a CFS protocol using the CFS (Conflict-Free Smelter) Compliant Smelter List? | Yes | No |
| - Do you have any possible conflict minerals in your products? | Yes | No |
| - If Yes, do you request source / smelter names for the possible conflict mineral contents? | Yes | No |
| - CM-Contact-Person (First- and Last name/phone/fax/e-mail) | | |

If the questions have not been truly answered, we retain the right to cancel any agreements between our companies.

Will be completed by Vibracoustic

- Reason for evaluation** First contact
 Re-Evaluation
-

**Planned activities /
Commodities for Vibracoustic:**

- Decision:** Potential as Portfolio Supplier – start Vibracoustic Supplier Assessment
- Potential as Non Portfolio Supplier – start Vibracoustic Supplier Assessment
- No potential as Non Portfolio Supplier or Portfolio Supplier – no further assessment / no implementation in Supplier List
- Considered for safety parts
- Supplier Assessment already done / date:

Commodity Manager / Name:

Date:

Signature:

Supplier Development Engineer / Name:

Date:

Signature:

Vibracoustic Notes: